

Please complete this application form to open a General Investment Account (GIA) with Wellesley, then return to newaccounts@wellesley.co.uk or alternatively you can post it to: **Freepost WELLESLEY & CO LIMITED or Wellesley, St Albans House, 57/59 Haymarket, London SW1Y 4QX**

Personal Details

Title: Mr Dr Mrs Ms Miss

First name:

Occupation:

Last name:

Marital status:

Date of birth: / /

Country of residence:

Mobile phone:

Nationality:

Home phone:

National insurance number:

E-mail:

Address

Current address:

Previous address:
(if less than three years at current address)

Post code:

Post code:

Time at current address:

Time at previous address:

Financial information

Annual income: £

Please confirm the source of your wealth:

- | | |
|---|---|
| Savings from employment income <input type="checkbox"/> | Property sale <input type="checkbox"/> |
| Maturing investments / sale of investments <input type="checkbox"/> | Retirement income <input type="checkbox"/> |
| Company sale or sale of an interest in company <input type="checkbox"/> | Gift <input type="checkbox"/> |
| Pension fund encashment <input type="checkbox"/> | Inheritance <input type="checkbox"/> |
| Fixed deposit savings <input type="checkbox"/> | Loan <input type="checkbox"/> |
| Profits from your business <input type="checkbox"/> | Other income sources <input type="checkbox"/> |
| Divorce settlement <input type="checkbox"/> | |

Please confirm the source of the funds that you wish to invest:

- | | |
|---|---|
| Savings from employment income <input type="checkbox"/> | Property sale <input type="checkbox"/> |
| Maturing investments / sale of investments <input type="checkbox"/> | Retirement income <input type="checkbox"/> |
| Company sale or sale of an interest in company <input type="checkbox"/> | Gift <input type="checkbox"/> |
| Pension fund encashment <input type="checkbox"/> | Inheritance <input type="checkbox"/> |
| Fixed deposit savings <input type="checkbox"/> | Loan <input type="checkbox"/> |
| Profits from your business <input type="checkbox"/> | Other income sources <input type="checkbox"/> |
| Divorce settlement <input type="checkbox"/> | |

Financial information - continued

Estimated value of trades (per year):

< £1,000 <input type="checkbox"/>	£1,000 – £9,999 <input type="checkbox"/>
£10,000 – £99,999 <input type="checkbox"/>	£100,000 – £999,999 <input type="checkbox"/>
£1,000,000 – £9,999,999 <input type="checkbox"/>	>£10,000,000 <input type="checkbox"/>

Estimated frequency of trades (per year):

< 10 times <input type="checkbox"/>	100 – 999 times <input type="checkbox"/>
10 – 99 times <input type="checkbox"/>	>1,000 times <input type="checkbox"/>

Bank account details

Please enter your UK bank account details. This should be the account you intend to deposit funds from and where you would like Wellesley to pay any withdrawal requests to.

Account name:

Sort code: - - Account number:

Customer agreement

Please ensure that all boxes are ticked in order to confirm your consent.

- I confirm that I have read, understood and agree to the Terms set out in the Platform Term & Conditions, a copy of which I have received or viewed on the Wellesley & Co website (www.wellesley.co.uk/corporate-information/).
- I confirm that I have read and understand that we have entered into an agreement with Third Platform Services Limited (TPS) (www.wellesley.co.uk/corporate-information/) on behalf of ourselves and each of our clients whereby Third Platform Services has agreed to provide clearing and settlement, safe custody and associated services for our clients who are subject to this agreement.
- I confirm that I have read, understood and agree to the Terms set out in the Stocks and Shares ISA – Terms and Conditions (www.wellesley.co.uk/corporate-information/), and TPS will act as ISA Manager.
- I confirm that I understand that Wellesley & Co (FRN 631197) is authorised and regulated by the Financial Conduct Authority (FCA) for arranging (bringing about) deals in investments and making arrangements with a view to transactions and such investments are not covered by the Financial Services Compensation Scheme.
- I confirm that I have read the Privacy Policy, Website Terms of Use and Cookie Policy.
- I confirm that I have the full legal authority and capacity to enter into this agreement.

Please select your marketing preferences below.

- I would like Wellesley & Co Ltd to contact me about news, as well as special offers and promotions relating to products and services offered by it, Wellesley Secured Finance Plc and Wellesley Finance Plc.
 Phone Email Post
- I would like Wellesley & Co Ltd to contact me to take part in research and/or surveys.

Signature: _____ Date: / /

It is a UK regulatory requirement that we verify your identity prior to opening your account. In the event that we are unable to verify your details, we will contact you by email should we require you to provide us with additional documentation. Once we have verified your personal details, you will receive an email providing you with details on how to fund your new Wellesley account.